

DIVISION

OBLON

SPIVAK

McCLELLAND

MAIER

NEUSTADT

P.C.

ATTORNEYS AT LAW
 KATHLEEN A. MORSEBERGER
 CONTROLLER
 (703) 412-6454
 KMORSEBERGER@OBLON.COM

2005 APR -8 PM 12:11

April 7, 2005

2005 APR -8

UNITED STATES PATENT AND TRADEMARK OFFICE
 Box 16
 Washington, DC 20231

Attn: Frank Lebron
 Refund Department

Re: Deposit Account #150030

Dear Mr. Lebron

Enclosed is a copy of a portion of our Deposit Account Statement of February, 2005. Please review the highlighted charge on Serial Number 10/524,560 in the amount of \$100.00 on fee code #1615.

Since all claims were paid for at the time the application was filed (47 total after preliminary amendment), the charge on our deposit account is most likely for additional monies concerning the search fee which was recently decreased from \$500.00 to \$400.00.

In accordance with the rule change of February 1, 2005, Title 37 CFR Part 1, Section 1.492 (copy attached), "the search fee for an international application entering the national stage ... is \$400.00 ..." Therefore the \$400.00 search fee (not the old fee of \$500.00) is correct and a refund should be issued for the extra \$100.00 charged on our deposit account.

Please review this application and kindly refund \$100.00 to deposit account #150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance.

Sincerely,

OBLON, SPIVAK, McCLELLAND,
 MAIER & NEUSTADT, P.C.

Debra J. Noel
 Debra J. Noel
 Accounting Department

Refund Ref: 85/25/2005 0830822104

Credit Card Refund Total: \$100.00
 Enclosures

Am Exp.: XXXXXXXXXXXX1007

Adjustment date: 05/25/2005 RWHITE1
 05/24/2005 SNAJARRO 00000064 10524560
 02 FC:1632 -588.00 OP

05/25/2005 RWHITE1 00000012 10524560

1940 DUKE STREET ■ ALEXANDRIA, VIRGINIA 22314 ■ U.S.A.
 TELEPHONE: 703-413-3000 ■ FACSIMILE: 703-413-2220 ■ WWW.OBLON.COM

488.00 OP

14/16



United States
Patent and
Trademark Office

2005 APR -8 PM 12:11

Deposit Account Statement

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Requested Statement Month:

February 2005

Deposit Account Number:

150030

Name:

NORMAN F. OBLON

Attention:

1940 DUKE STREET

Address:

ALEXANDRIA

City:

VA

State:

22314

Zip:

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
02/01	78	10503936	256748US6PCT	1201	\$2,000.00	\$16,574.71
02/01	79	10503936	256748US6PCT	1202	\$350.00	\$16,224.71
02/01	192	10880101	254622WO/TEP/FF	8007	\$20.00	\$16,204.71
02/01	245	60584469	255455US/KQU	8007	\$60.00	\$16,144.71
02/01	342	60538457	248191USUS/KQU	8007	\$20.00	\$16,124.71
02/01	343	60559950	251700US/KQU	8007	\$20.00	\$16,104.71
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02/02	6	10940012	258658US25CONT	1202	\$200.00	\$15,724.71
02/02	262	60573420	253699US/KQU	8007	\$40.00	\$15,684.71
02/03	85	10760499	247798US2SX CONT	1201	\$200.00	\$15,484.71
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02/04	174	10780640	249160US/KQU	8007	\$60.00	\$13,654.71
02/04	176	60557687	250390US/KQU	8007	\$20.00	\$13,634.71
02/04	177	10796064	248424US/KQU	8007	\$80.00	\$13,554.71
02/04	275	10764527	245419US/KQU	8007	\$20.00	\$13,534.71
02/07	26	PAYMENT		9203	-\$20,000.00	\$33,534.71
02/07	51	10002434	263467US8	1463	\$70.00	\$33,464.71
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02/08	136	10408218	236211US30/JEM	1251	\$120.00	\$33,119.71
02/08	173	10523108	262509US2PCT	1633	\$100.00	\$33,019.71
02/09	11	10355304	233504USOSCO	1252	\$450.00	\$32,569.71

02/24 155	10524731	265290US2PCT	2615	\$50.00	\$19,197.71
02/24 276	10524569	265699US2XPCT	1615	\$100.00	\$19,097.71
02/24 294	10524689	265280US0PCT	1617	\$100.00	\$18,997.71
02/24 365	10524790	264847USOX PCT	1633	\$100.00	\$18,897.71
02/24 406	10524785	265913US0PCT	1616	\$100.00	\$18,797.71
02/25 4	10110774	221979US0PCT	1806	\$180.00	\$18,617.71
02/25 5	10502099	256369US2PCT	1615	\$18.00	\$18,599.71
02/25 6	10524833	266364US0PCT	1616	\$100.00	\$18,499.71
02/25 12	10524839	266174US0PCT	1616	\$100.00	\$18,399.71
02/25 59	60547840	246474US/KQU	8007	\$80.00	\$18,319.71
02/25 151	11062787	266609US41	1203	\$360.00	\$17,959.71
02/25 289	10926408	256816US99CONT	1051	\$130.00	\$17,829.71
02/25 327	10524696	265994US2PCT	1633	\$100.00	\$17,729.71
02/25 451	60580372	254606US/KQU	8007	\$20.00	\$17,709.71
02/28 1	09707836	198439US0	1251	\$120.00	\$17,589.71
02/28 3	09956008	213826US2X	1252	\$450.00	\$17,139.71
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02/28 303	10524841	266120US0PCT	1616	\$100.00	\$16,389.71
02/28 307	10524838	266173US0PCT	1633	\$100.00	\$16,289.71
02/28 315	10524840	264826US0PCT	1633	\$100.00	\$16,189.71
02/28 324	10524836	265727US0PCT	1633	\$100.00	\$16,089.71

START
BALANCE
\$18,574.71

SUM OF
CHARGES
\$24,665.00

SUM OF END
REPLENISH BALANCE
\$22,180.00 \$16,089.71

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Due Date February 18,
2005

By MJS/hv/FF

OSM&N File No. 265682US2X PCT

Serial No. New U.S. PCT Application based on PCT/FR03/50036

In the matter of the Application of Christophe BUREAU, et al.
For MICROSTRUCTURE WITH SURFACE FUNCTIONALISED BY LOCALISED
DEPOSITION OF A THIN LAYER AND ASSOCIATED MANUFACTURING
METHOD

The following has been received in the U.S. Patent Office on the date stamped hereon:

- 27 pgs. Specification 32 Claims (English Translation)
- Combined Declaration, Petition & Power of Attorney (3 pages)
- Application Data Sheet
- Notice of Priority
- Dep. Acct. Order Form
- Credit Card Payment Form for \$2,250.00
- Drawings (2 sheets)
- PCT Transmittal Letter
- Preliminary Amendment
- PCT/IB/304
- PCT/IB/308
- International Search Report
- Request for Consideration of Documents Cited in International Search Report
- English Translation of the Amendments to the Claims of the International Application under PCT Article 19
- Amended Sheets (pages 68-76)



SERIAL NO. _____

DATE RECEIVED _____

Linked to OPTMS

DATE

CASE ID

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EQ/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATT: EY'S DOCKET NUMBER

265682USXPCT

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/FR03/50036INTERNATIONAL FILING DATE
25 August 2003PRIORITY DATE CLAIMED
26 August 2002TITLE OF INVENTION
MICROSTRUCTURE WITH SURFACE FUNCTIONALISED BY LOCALISED DEPOSITION OF A THIN LAYER AND
ASSOCIATED MANUFACTURING METHODAPPLICANT(S) FOR DO/EQ/US
Christophe BUREAU et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EQ/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ Is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)):
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☒ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/PEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.
23. ☒ Other items or information:

Application Data Sheet
Drawings (9 sheets)
PCT/IB/308
Amended Sheets (pages 68-76)Notice of Priority
PCT/IB/304
Request for Consideration

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO-1390 (Rev. 12-2004)
 Approved for use through 3/31/2007. OMB 0651-0021
 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
		PCT/FR03/50036		265682US2XPCT	
				Applicant use	Office use
24. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee				\$300.00	\$300.00
<input checked="" type="checkbox"/> b) Examination fee				\$200.00	\$200.00
<input checked="" type="checkbox"/> c) Search fee				\$400.00	\$400.00
TOTAL OF ABOVE CALCULATIONS =				\$900.00	\$900.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
- 100 =	/50 =		x \$250.00	\$	\$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	47 - 20 =	27	x \$50.00	\$	\$1,350.00
Independent claims	1 - 3 =	0	x \$200.00	\$	\$0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$	\$0.00
TOTAL OF ABOVE CALCULATIONS =				\$	\$2,250.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	\$0.00
SUBTOTAL =				\$	\$2,250.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	\$0.00
TOTAL NATIONAL FEE =				\$	\$2,250.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$	\$0.00
TOTAL FEES ENCLOSED =				\$	\$2,250.00
Amount to be refunded:				\$	
Amount to be charged:				\$	
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____					
c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u> . A duplicate copy of this sheet is enclosed.					
d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Customer Number			SIGNATURE		
22850			Marvin J. Spivak		
			NAME		
			24,913		
			REGISTRATION NUMBER		